



**Lumen Academy**

Embrace Educate Empower

## **Conflict of Interest Policy**

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**Policy Date: August 2024**

**Review Date: August 2025**

## Document History

| Version | Status |  | Date   | Author  | Summary Changes |
|---------|--------|--|--------|---------|-----------------|
| V1      |        |  | Aug 24 | M Sethi |                 |
|         |        |  |        |         |                 |
|         |        |  |        |         |                 |

## 1 Policy Statement

The purpose of this policy is to provide guidance to staff and any other relevant individuals on handling possible conflicts of interest that may arise as a result of their roles as teachers, support staff invigilators, and assessment/exam-related administrators. This policy applies to all staff or other individuals whenever they interact or potentially interact with any of the academy assessment/exam related functions.

This policy:

- Defines what is meant by conflict of interest
- Describes the role of conflict of interest in the context of working for the academy in an assessment/exam related function
- Sets out which conflicts can be managed and how they should be managed, and those which are considered unmanageable and therefore cannot be allowed.
- Illustrates potential conflict of interest situations, some of which are not obvious.

The most important feature of the policy is the instruction that staff and relevant individuals should always disclose an activity if there is any doubt about whether it represents a conflict of interest.

The policy describes how and when such disclosures should be made, that is, as soon as potential or actual conflict is discovered. This should be done by completion of a report at the time (i.e. before activity is carried out). The policy describes the procedures that should be followed and how to handle potential conflicts of interest.

This policy applies to staff and other individuals who interact or potentially interact with the assessment- related work/examinations of the academy. This includes individuals involved with all aspects of devising, setting, marking, administering, invigilating, internally verifying or any other activity connected with the assessment of candidates and associated supporting resources and services.

The individuals falling within the scope of this policy include full-time, part-time, prorated and support staff of the academy and any associate staff including external examiners. The content of the policy cannot cover every potential conflict and must be interpreted in the light of the particular circumstances of each conflict.

## 2 What is a Conflict of Interest?

A simple definition of conflict of interest is a situation in which an individual or organisation has competing interests or loyalties. Conflicts of interest can arise in a variety of circumstances, for example:

- An individual whose personal interests conflict with his/her professional position
- An individual scheduled to assess, invigilate or internally verify the work of friends, relatives.

Implications of a breach of a conflict of interest could constitute the following:

- 1) Breach of terms or contract of employment
- 2) Breach of Teacher Standards
- 3) Breach of Professional Standards
- 4) Gross misconduct

### **Roles, Responsibilities and Associated Procedures to be followed in the Event of an Actual or Perceived of Interest**

- All relevant staff have a responsibility to be aware of the potential for a conflict of interest. It is possible that staff working in any assessment/exam related role might encounter potential conflicts of interest from time to time. Such situations must be carefully managed to ensure that any conflict of interest does not detrimentally impact on standards of, or public confidence in the academy's educational provision. Staff can find themselves in potential conflicts of interest situations because they are not clear what the correct, auditable processes and procedures are.
- The Conflict of Interest policy is a requirement of the induction of all new teachers, invigilators and assessment/examination related support staff and staff asked annually of any potential conflicts of interest (as and when the situation arises, teachers, invigilators and support staff must notify the academy of any students that are at the academy who are family members, other relatives or close friends). The Principal oversees the induction of all new staff.
- Any day-to-day concerns identified by an individual should be raised with their line manager in the first instance. This will be the member of staff who is performance managing them
- Where there is a notified potential conflict of interest for an individual, the individual and line manager must document this carefully, together with those activities that must be avoided to prevent the academy being brought into disrepute. A declaration of conflict of interest form should be signed by both the individual and the line manager, brought to the attention of the Principal where documents will be retained for audit purposes
- Any concerns that the individual feels are urgent should be communicated immediately to the Principal and may be done so in confidence. It is an individual's right to raise concerns relating the conflict of interest directly with the Principal.
- If a teaching member of staff is involved in any way with the development of a secure assessment for either internal or national use,

he/she cannot make use of the knowledge of that assessment in any teaching or learning activity

- Teachers, invigilators and assessment/exam-related administrators do not take responsibility to ensure the security and confidentiality of all assessment documents including examination papers
- Teaching and learning materials are based on live examination of other assessment materials (although they can make use of past examination of other assessment materials)
- A member of staff is asked to assess, invigilate or internally verify the work of a student who is a family member, other relative or close friend
- A member of staff makes assessment materials available to individuals, whether or not students of the academy, when not specifically tasked with assessing them as part of a timetabled activity.

The accreditations that may relate to this are:

- ASDAN Qualifications
- Princes Trust Qualifications
- NCFE Qualifications (functional skills)
- NOCN Qualifications

Reviewed By:

Monika Sethi

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Next Review Date:

August 2025

Approved by Director:

19<sup>th</sup> August 2024

Signed:



Monika Sethi  
Principal and Director